

U.S. Pat. App. Ser. No. 10/090,718  
Attorney Docket No. 10191/2275  
Appeal Brief



[10191/2275]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BOARD OF PATENT APPEALS AND INTERFERENCES

In re Application of:

Martin Hurich

For: METHOD AND DEVICE FOR DATA  
ENCRYPTION IN PROGRAMMING OF  
CONTROL UNITS

Filed: March 4, 2002

Serial No.: 10/090,718

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03,809

**SUPPLEMENTAL/REPLACEMENT APPEAL BRIEF  
PURSUANT TO 37 C.F.R. § 41.37**

SIR:

In the above-identified patent application ("the present application"), Appellants electronically filed a Notice Of Appeal on October 24, 2008 from the Final Office Action issued by the U.S. Patent and Trademark Office on June 2, 2008, so that the two-month appeal brief due date was December 24, 2008.

The Appeal Brief was mailed on February 24, 2009. A Notice of Non-compliance was mailed on March 27, 2009, so that the one-month response date was April 27, 2009, which has been extended by one (1) month to May 27, 2009 by the accompanying Transmittal and Petition to Extend.

In the Final Office Action, pending and considered claims 1 to 12 and 15 to 19 were finally rejected. A Response After A Final Office Action (no amendments were made) was mailed on September 9, 2008, and an Advisory Action was mailed on September 25, 2008.

It is understood for purposes of the appeal that any Amendments to date have already been entered by the Examiner, and that the Response After Final does not require entry since it included no amendments.

*The previously filed Appeal Brief mailed on February 24, 2009 in support of the appeal of the final rejections of claims 1 to 12 and 15 to 19 was deemed non-compliant in the Notification of Non-Compliant Appeal Brief (37 CFR 41.37) of March 27, 2009. In the Notification: it was stated as to item 4(a) that "The brief does not contain a concise explanation . . . ." because the brief "fails to argue independent claims 1, 7, 11, 15 and 16 separately, ... ", as stated in item 10.*

*The Replacement Appeal Brief is believed to comply with all the requirements of Rule 41.37, and to address the issues raised in Notice as items 4/10.*

*As concerns items 4/10 of the Non-Compliant Notification, it is noted that the "concise explanation" language of the Rule is like the "concise explanation" requirement of former Rule 37 CFR 1.192, and that the length of the concise explanation provided herein should therefore be acceptable, since it was acceptable under 37 CFR 1.192 and since it specifically defines the subject matter of the relevant claims involved in the appeal. AARON C. DEDITCH (reg. no. 33,865) has filed many appeal briefs in which the concise explanation has ultimately always been accepted by the Patent Office. The Office is encouraged to contact the undersigned if there are any questions as to the description of the claimed subject matter.*

It is respectfully submitted that all matters have been corrected and that this Replacement Appeal brief complies with 37 C.F.R. 41.37, and specifically moots the stated reasons for deeming the original Appeal Brief mailed on February 24, 2009 as non-compliant, so that this Replacement Appeal Brief is compliant. Although no longer required by the rules, this Brief is submitted in triplicate as a courtesy to the Appeals Board.

It is respectfully submitted that the final rejections of claims 1 to 12 and 15 to 19 should be reversed for the reasons provided below.

**1. REAL PARTY IN INTEREST**

The real party in interest in the present appeal is Robert Bosch GmbH ("Robert Bosch") of Stuttgart in the Federal Republic of Germany. Robert Bosch is the assignee of the entire right, title and interest in the present application.

**2. RELATED APPEALS AND INTERFERENCES**

There are no interferences or other appeals related to the present application, which "will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal".

**3. STATUS OF CLAIMS**

**CLAIMS 13 and 14 ARE CANCELED.**

A. Claims 1 to 12 and 15 to 19 were rejected under 25 U.S.C. 102(b) as anticipated by the U.S. Patent No. 6,141,421 ("Takaragi") reference.

Appellants therefore appeal from the final rejections of pending claims 1 to 12 and 15 to 19. A copy of all of the pending and appealed claims 1 to 12 and 15 to 19 is attached hereto in the Claims Appendix.

**4. STATUS OF AMENDMENTS**

In response to the Final Office Action mailed on June 2, 2008, Appellants filed a Response After A Final Office Action (with no amendments), which was mailed on September 9, 2008.

It is understood for purposes of the appeal that any Amendments to date have already been entered by the Examiner, and that the Response After Final does not require entry since it included no amendments.

## **5. SUMMARY OF CLAIMED SUBJECT MATTER**

The concise explanation of the summary of the claimed subject matter is as follows, as described in the context of the present application.

As to the presently claimed subject matter of claims 1, 7, 11, 15 and 16, the specification describes and discloses the following:

*As to claim 1, it is to a method of data encryption in programming of a control unit including: encrypting a complete stream of data to be transmitted in a programming unit using a first key.* Figure 1 shows a device having a programming unit 10, a control unit 11 and a data line 12. The programming unit 10 has a microprocessor 13 and a memory element 14 linked together by data bus 15. Control unit 11 has a microprocessor 16, a memory module 17 and a data bus 18. (See Specification, page 5, lines 2 to 8). If the key is transmitted from the sender to the receiver, a table (which is accessed by a hash function) is also suitable for use as the key. (See Specification, page 4, lines 22 to 24). Data for programming control unit 11 is stored in memory module 14 of programming unit 10. The data is encrypted by microprocessor 13 by using a table and a hash function in memory module 14. (See Specification, page 5, lines 13 to 16).

*As to claim 1, it also includes the feature in which a byte by byte encryption of the complete stream of data is capable of being performed, and in which no byte-wise allocation between input and output data occurs.* The flow chart in Figure 2 shows the sequence of the method of the claimed subject matter. In step 20, the encryption of the data (for programming the control unit) is performed first. The data to be encrypted is not broken down into first and second words -- as in the related art. Therefore, this method may be used for individual bytes (see Specification, page 6, line 24 to page 7, line 1), and to safely encrypt large domains having the same content (filling areas). The encrypted domains do not provide any information regarding the key used. A byte-wise allocation between input and output data is impossible. (See Specification, page 8, line 18 to 21).

*As to claim 1, it also includes the feature of transmitting the data that had been encrypted to the control unit via a data line.* The encrypted data is then transmitted via data line 12 to control unit 11. (See Specification, page 6, lines 5 to 6).

*As to claim 1, it also includes the feature of decrypting the data that had been encrypted in the programming unit using a second key provided in the control unit. The encrypted data is stored in memory module 17 (of control unit 11) which contains the same key as in memory module 14. The data is decrypted again with this key. (See Specification, page 6, lines 11 to 14).*

*As to claim 1, it also includes the feature in which successive bytes during encryption are provided with an index i, where i = 0, 1, 2,..., an encrypted byte n\* is formed from an unencrypted byte n according to the following, a starting value n<sub>-1</sub> being used for decryption and encryption:*

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)}$$

For encryption, a table S (having m elements S<sub>0</sub> through S<sub>m-1</sub>) is accessed by a hash function h(x), which is an index. The successive bytes during encryption are provided with an index i, where i = 0, 1, 2, .... An encrypted byte n\* is formed from an unencrypted byte n according to the following (a starting value n<sub>-1</sub> is used for decryption and encryption):

$$n_{-1} \equiv S_o \quad \text{(formula 1)}$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)} \quad \text{(formula 2)}$$

(See Specification, page 5, line 27 to page 6, line 3).

*As to claim 1, it also includes the feature in which an unencrypted byte n is formed from an encrypted byte n\* according to the following:*

$$n_i = \left( n_i^* \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)} \right) \ggg \sum_{j=0}^i n_{j-1}^*$$

The encrypted data is stored in memory module 17 which contains the same key as in memory module 14. The data is decrypted again with this key. Unencrypted byte n is formed from an encrypted byte n\* according to:

$$n_i = \left( n_i^* \oplus S_h \left( \sum_{j=0}^i n_{j-1}^* \right) \right) >>> \sum_{j=0}^i n_{j-1}^* \quad (\text{formula 3})$$

(See Specification, page 6, lines 11 to 18).

*As to claim 7, it is to a data encryption system, including a programming unit in which a first key is provided. (See Specification, page 5, lines 2 to 8, page 4, lines 22 to 24 and page 5, lines 13 to 16, as described above).*

*As to claim 7, it also includes the feature of a control unit in which a second key is provided. (See Specification, page 6, lines 11 to 14, as described above).*

*As to claim 7, it also includes the feature of a data line coupled to the programming unit and the control unit for transmitting encrypted data. (See Specification, page 6, lines 5 to 6, as described above).*

*As to claim 7, it also includes the feature of the encrypted data being an encryption of a complete stream of data, in which a byte by byte encryption of the complete stream of data is capable of being performed, in which encryption of a byte includes a rotation of bits of the byte about a number of positions, the number depending on an entire history of the encryption of the data, and in which no byte-wise allocation between input and output data occurs. The flow chart in Figure 2 shows the method sequence of the claimed subject matter. In step 20, the encryption of the data (for programming the control unit) is performed first. The data to be encrypted is not broken down into first and second words, as in the related art, so that this method may be used for individual bytes. This method employs a rotation about a number of positions, which depends on the entire encryption history (the encryption of a byte is not predetermined) (see Specification, page 6, line 24 to page 7, line 4), for safely encrypting large domains having the same content (filling areas). The encrypted domains do not provide any information regarding the key used. A byte-wise allocation between input and output data is impossible. (See Specification, page 8, line 18 to 21).*

*As to claim 7, it also includes the feature in which successive bytes during encryption are provided with an index  $i$ , where  $i = 0, 1, 2, \dots$ , an encrypted byte  $n^*$  is formed from an*

*unencrypted byte n according to the following, a starting value  $n_{-1}$  being used for decryption and encryption:*

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)}$$

(See Specification, page 5, line 27 to page 6, line 3, as described above).

*As to claim 7, it also includes the feature in which an unencrypted byte n is formed from an encrypted byte  $n^*$  according to the following:*

$$n_i = \left( n_i^* \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)} \right) \ggg \sum_{j=0}^i n_{j-1}^*$$

(See Specification, page 6, lines 11 to 18, as described above).

*As to claim 11, it is to a computer program product having program code executable by a computing unit, the program code when executed causing the computing unit to perform a method, the method including performing an encryption of a complete stream of data in accordance with a table and a hash function. (See Specification, page 5, lines 2 to 8, page 4, lines 22 to 24 and page 5, lines 13 to 16, as described above).*

*As to claim 11, it also includes the feature in which a byte by byte encryption of the complete stream of data is capable of being performed, and in which no byte-wise allocation between input and output data occurs. (See Specification, page 6, line 24 to page 7, line 4 and page 8, line 18 to 21, as described above).*

*As to claim 11, it also includes the feature in which successive bytes during encryption are provided with an index i, where  $i = 0, 1, 2, \dots$ , an encrypted byte  $n^*$  is formed from an unencrypted byte n according to the following, a starting value  $n_{-1}$  being used for decryption and encryption:*

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)}$$

(See Specification, page 5, line 27 to page 6, line 3, as described above).

*As to claim 11, it also includes the feature in which an unencrypted byte  $n$  is formed from an encrypted byte  $n^*$  according to the following:*

$$n_i = \left( n_i^* \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)} \right) \ggg \sum_{j=0}^i n_{j-1}^*$$

(See Specification, page 6, lines 11 to 18, as described above).

*As to claim 15, it is to a computer-readable medium, including a program code executable on a computing unit for performing an encryption of a complete stream of data in accordance with a table and a hash function. (See Specification, page 5, lines 2 to 8, page 4, lines 22 to 24 and page 5, lines 13 to 16, as described above).*

*As to claim 15, it also includes the feature in which a byte by byte encryption of the complete stream of data is capable of being performed, and in which no byte-wise allocation between input and output data occurs. (See Specification, page 6, line 24 to page 7, line 4 and page 8, line 18 to 21, as described above).*

*As to claim 15, it also includes the feature in which successive bytes during encryption are provided with an index  $i$ , where  $i = 0, 1, 2, \dots$ , an encrypted byte  $n^*$  is formed from an unencrypted byte  $n$  according to the following, a starting value  $n_{-1}$  being used for decryption and encryption:*

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)}$$

(See Specification, page 5, line 27 to page 6, line 3, as described above).

*As to claim 15, it also includes the feature in which an unencrypted byte  $n$  is formed from an encrypted byte  $n^*$  according to the following:*



$$n_i = \left( n_i^* \oplus S_h \left( \sum_{j=0}^i n_{j-1}^* \right) \right) >>> \sum_{j=0}^i n_{j-1}^*$$

(See Specification, page 6, lines 11 to 18, as described above).

*As to claim 16, it is to a computer-readable medium, including a program code executable on a computing unit for performing a decryption of a complete stream of data in accordance with a table and a hash function. (See Specification, page 5, lines 2 to 8, page 4, lines 22 to 24 and page 5, lines 13 to 16, as described above).*

*As to claim 16, it also includes the feature in which a byte by byte decryption of the complete stream of data is capable of being performed, and in which no byte-wise allocation between input and output data occurs. (See Specification, page 6, line 24 to page 7, line 4 and page 8, line 18 to 21, as described above).*

*As to claim 16, it also includes the feature in which successive bytes during encryption are provided with an index  $i$ , where  $i = 0, 1, 2, \dots$ , an encrypted byte  $n^*$  is formed from an unencrypted byte  $n$  according to the following, a starting value  $n_{-1}$  being used for decryption and encryption:*

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i <<< \sum_{j=0}^i n_{j-1}^* \right) \oplus S_h \left( \sum_{j=0}^i n_{j-1}^* \right)$$

(See Specification, page 5, line 27 to page 6, line 3, as described above).

*As to claim 15, it also includes the feature in which an unencrypted byte  $n$  is formed from an encrypted byte  $n^*$  according to the following:*

$$n_i = \left( n_i^* \oplus S_h \left( \sum_{j=0}^i n_{j-1}^* \right) \right) >>> \sum_{j=0}^i n_{j-1}^*$$

(See Specification, page 6, lines 11 to 18, as described above).

*The presently claimed subject matter of claim 1 (and also essentially of claims 11, 15 and 16) involves data encryption in programming of a control unit including: encrypting a*

complete stream of data to be transmitted in a programming unit using a first key, in which a byte by byte encryption of the complete stream of data is capable of being performed, and in which no byte-wise allocation between input and output data occurs; transmitting the data that had been encrypted to the control unit via a data line; and decrypting the data that had been encrypted in the programming unit using a second key provided in the control unit; in which successive bytes during encryption are provided with an index  $i$ , where  $i = 0, 1, 2, \dots$ , an encrypted byte  $n^*$  is formed from an unencrypted byte  $n$  according to the following, a starting value  $n_{-1}$  being used for decryption and encryption:

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)},$$

an unencrypted byte  $n$  is formed from an encrypted byte  $n^*$  according to the following:

$$n_i = \left( n_i^* \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)} \right) \ggg \sum_{j=0}^i n_{j-1}^*$$

(See claims 1, 11, 15 and 16).

*The presently claimed subject matter of claim 7 includes essentially the same features as claim 1, except that it is to a data encryption system. (See claim 7).*

*The presently claimed subject matter of claim 11 includes essentially the same features as claim 1, except that it is to a computer program product. (See claim 11).*

*The presently claimed subject matter of claim 15 includes essentially the same features as claim 1, except that it is to a computer readable medium. (See claim 15).*

*The presently claimed subject matter of claim 16 includes essentially the same features as claim 1, except that it is to a computer readable medium, and it is directed to decryption. (See claim 16).*

Finally, the appealed claims include no means-plus-function or step-plus-function claims, so that 41.37(v) is satisfied as to its specific requirements for such claims, since none are present here. The present application does not contain any step-plus-function claims because the method claims in the present application are not “step plus function” claims

because they do not recite “a step for”, as required by the Federal Circuit and as stated in Section 2181 of the MPEP.

## **6. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL**

A. Whether claims 1 to 12 and 15 to 19 are patentable under 25 U.S.C. 102(b) and therefore not anticipated by the U.S. Patent No. 6,141,421 (“Takaragi”) reference.

## **7. ARGUMENT**

### **A. The Rejections Under 35 U.S.C. § 102(b) That Claims 1 to 12 and 15 to 19 Are Anticipated**

#### **CLAIMS 1 TO 12 AND 15 TO 19**

Claims 1 to 12 and 15 to 19 were rejected under 25 U.S.C. 102(b) as anticipated by the U.S. Patent No. 6,141,421 (“Takaragi”) reference. .

As regards the anticipation rejections of the claims, to reject a claim under 35 U.S.C. § 102, the Office must demonstrate that each and every claim feature is identically described or contained in a single prior art reference. (See *Scripps Clinic & Research Foundation v. Genentech, Inc.*, 18 U.S.P.Q.2d 1001, 1010 (Fed. Cir. 1991)). As explained herein, it is respectfully submitted that the Office Actions to date do not meet this standard, for example, as to all of the features of the claims. Still further, not only must each of the claim features be identically described, an anticipatory reference must also enable a person having ordinary skill in the art to practice the claimed subject matter. (See *Akzo, N.V. v. U.S.I.T.C.*, 1 U.S.P.Q.2d 1241, 1245 (Fed. Cir. 1986)).

As further regards the anticipation rejections, to the extent that the Office Action may be relying on the inherency doctrine, it is respectfully submitted that to rely on inherency, the Office must provide a “basis in fact and/or technical reasoning to reasonably support the determination that the allegedly inherent characteristics *necessarily* flows from the teachings of the applied art.” (See M.P.E.P. § 2112; emphasis in original; and see *Ex parte Levy*, 17 U.S.P.Q.2d 1461, 1464 (Bd. Pat. App. & Int’f. 1990)). Thus, the M.P.E.P. and the case law

make clear that simply because a certain result or characteristic may occur in the prior art does not establish the inherency of that result or characteristic.

The Takaragi reference refers to a speed optimized method for ascertaining a highly secure hash value. A hash function that is used to ascertain the hash value includes the cyclical shifting of data to be encrypted. This hash function is based on the knowledge that modern microprocessors are able to complete shifts of data in one computing cycle. To this end, data are subdivided into data blocks. The length of the data blocks is oriented toward the size of the register of the microprocessor. For example, for a 32-bit microprocessor, data blocks having a length of 32 bits are selected. A fast computer, such as a 100 MHz computer may thus process 100 million data blocks in one second. Thus, very long, and therefore very secure hash values may be ascertained in a short computing time.

*In contrast to this, according to the subject matter of claim 1 (and essentially of claims 7, 11, 15 and 16), an entire data stream is intermittently encrypted and decrypted. The encrypting ensues using a first key, and the decrypting ensues using a second key. The functions used for encrypting and decrypting use a cyclical shifting.*

*Thus, in contrast to Takaragi, according to the subject matter of claim 1 (and essentially of independent claims 7, 11, 15 and 16), each arriving encrypted byte is immediately decrypted and may be used immediately -- independently of the other transmitted encrypted bytes. In this case, the objective is to provide a simple encrypting method, which may also be used for microcontrollers having a low computing power and a small program memory. This is especially useful for the use of the method in control units such as those used in the automotive sector. Furthermore, the individual coding and decoding of individual bytes is very advantageous, in particular for the flash programming of these control units in the automotive sector.*

The Takaragi reference does not describe an encrypting method, but rather a signature method, which uses a very secure, but also very complex (i.e., long) hash value. Since very many operations must be executed in succession in order to ascertain this hash value, the method of Takaragi is not appropriate for use in the flash programming of a control unit. Since a high computing power, for example, a 32-bit 100 MHz microprocessor, is

additionally required for the ascertainment of the hash value according to Takaragi, the Takaragi reference teaches away from the subject matter of claim 1 (and claims 11, 15 and 16).

For these reasons, claims 1, 7, 11, 15 and 16 are allowable over the Takaragi reference, as are their respective dependent claims.

Still further as to claim 1, it is respectfully submitted that the “Takaragi” reference does not identically disclose (or suggest) the feature in which:

*successive bytes during encryption are provided with an index  $i$ , where  $i = 0, 1, 2, \dots$ ,*

*an encrypted byte  $n^*$  is formed from an unencrypted byte  $n$  according to the following, a starting value  $n_{-1}$  being used for decryption and encryption:*

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)},$$

*an unencrypted byte  $n$  is formed from an encrypted byte  $n^*$  according to the following:*

$$n_i = \left( n_i^* \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)} \right) \ggg \sum_{j=0}^i n_{j-1}^*$$

The Final Office Action conclusorily asserts that “Takaragi” (col. 9, lines 30-45) discloses the feature of “cyclically shifting bits and exclusive OR operations”. Even if “Takaragi” may refer to how a cyclical shift of bits and an XOR operation works, it does not identically disclose (or even suggest) the above cited equations for data encryption and decryption, as provided for in the context of the claimed subject matter. Other than referring to the use of two basic and fundamental binary operations, “Takaragi” (col. 9, lines 21-29) does not identically disclose (or even suggest) the above cited equations, as provided for in the context of the presently claimed subject matter.

The Office conclusorily asserts that “the equation is irrelevant, since “Takaragi” teaches what the equation tries to accomplish, encrypt and decrypt information.” (Final Office Action, ¶ 5). The equation is not “irrelevant” but is a feature of the claim that must be “identically described or contained in a single prior art reference.” The encryption/decryption method of “Takaragi”, which refers to XORs and bit-sifters, simply does not anticipate or render obvious the specific features of the presently claimed subject matter. The presently claimed subject matter reflects a new data encryption method using the encryption history, which is not identically disclosed (or even suggested) by the “Takaragi” reference.

*The equations of the claimed subject matter accurately describe and claim part of a novel encryption method in which each encrypted unit relies on the encryption value of prior units.*

The Final Office Action conveniently and conclusorily suggests that “with the richness of the English language, perhaps an explanation of what the equation does should replace said equation in the body of the claim.” In fact, data encryption and decryption is a mathematically based operation and as “rich” as the English language may be, the mathematical formulas provided are the most accurate and appropriate way of “particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.”

The Final Office Action conclusorily asserts that “according to the specification, the operations of the equations are nothing more, nothing less, than rotation to the left and rotation to the right, and exclusive OR (see page 5).” (Final Office Action, ¶ 5). In fact, the specification discloses that those three operations are used by the specific method, and then (at the end of page 5 into page 6) describes the equations of the claimed subject matter.

The Final Office Action further states that “accordingly, “Takaragi”’s operations of ‘cyclically shifting and x-OR operations’ (see previous Office Action) correctly map to the claimed subject matter. In other words, the equations encrypt by rotating to the left and then

xoring, and decrypt by xoring and then rotating to the right.” (Final Office Action, ¶ 5). The present claims -- and not “Takaragi” -- present a new and nonobvious way to encrypt a stream of data based on prior bytes of the stream. The “Takaragi” encryption method, even if it may be using XORs and bit-shifting, does not identically disclose (or even suggest) these specific features, and therefore does not and cannot anticipate the present claims.

Also, Applicant simply does not admit or agree that “Takaragi” provides a basic architecture to implement the claimed subject matter. In particular, “Takaragi”, does not identically disclose (nor even suggest) the specific implementation of data encryption of the present claims. Absolutely nothing in “Takaragi” even begins to anticipate (or render obvious) the novel encryption method of the claimed subject matter.

It is also respectfully submitted that the “Takaragi” reference does not identically disclose (or even suggest) the feature in which “no byte-wise allocation between input and output data occurs”, as provided for in the context of the claimed subject matter. The Final Office Action asserts that “Takaragi” at col. 9, lines 43-47, discloses no byte-wise allocation because it states that “the 64-bit input data  $X_2$  and the 32-bit input data  $Y_2$  is expanded to data of total 128 bits.” Thus even if “Takaragi” may concern byte-wise allocation, it does not identically disclose (or even suggest) the claim feature in which “no byte-wise allocation between input and output data occurs.”

Additionally, it is respectfully submitted that the “Takaragi” reference does not identically disclose (or suggest) the feature in which “decrypting the data that had been encrypted in the programming unit using a second key provided in the control unit,” as provided for in the context of the claimed subject matter. In Figure 20 (element 2017) it seems plain that the key is provided in the data stream, and in Figure 19 (element 1905) and Figure 18 (element 1802), it is plain that the key is external to element 1901 “Decode and Expansion Function” and element 1801 “Decoder”. In “Takaragi”, col. 14, line 59 states that the “a key 1905 is inputted”, and is provided *to* the decoder. This does not identically disclose (or even suggest) the feature of “provided *in* the control unit”. The Final Office

Action claims that “fig. 19 is a function that resides in the decoder of fig. 18, and therefore the key is in the decoder. In fact, Fig. 19 is an expanded view of a function of Fig. 18 (see “Takaragi”, brief description of the drawings). Element 1901 of Fig. 19 is in the decoder of element 1801 of Fig. 18, but elements 1902, 1920, and 1905 are plainly outside the decoder 1801 of Fig. 18. The key elements 1905 and 1802 are plainly outside the decoder, since they are provided to the decoder.

For at least these reasons, the reference does not identically disclose (or suggest) the above-discussed features of the claimed subject matter, so that claim 1 is allowable, as are its dependent claims 2 to 6 and 17.

Claim 7 includes features like those of claim 1 and is therefore allowable for essentially the same reasons, as are its dependent claims 8 to 10 and 18.

Claims 11 (and dependent claim 19), 15, and 16 include like those of claim 1, and are therefore allowable for essentially the same reasons.

It is therefore respectfully submitted that claims 1 to 12 and 15 to 19 are allowable.



**CONCLUSION**

In view of the above, it is respectfully requested that the rejections of finally rejected, pending and considered claims 1 to 12 and 15 to 19 be reversed since these claims are allowable.

Respectfully submitted,

Dated: \_\_\_\_\_

*Str/200*

By: \_\_\_\_\_

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**CLAIMS APPENDIX**

1. (Previously Presented) A method of data encryption in programming of a control unit comprising:

encrypting a complete stream of data to be transmitted in a programming unit using a first key, wherein a byte by byte encryption of the complete stream of data is capable of being performed, and wherein no byte-wise allocation between input and output data occurs;

transmitting the data that had been encrypted to the control unit via a data line; and

decrypting the data that had been encrypted in the programming unit using a second key provided in the control unit;

wherein:

successive bytes during encryption are provided with an index i, where i = 0, 1, 2, . . . ,

an encrypted byte n\* is formed from an unencrypted byte n according to the following, a starting value n<sub>-1</sub> being used for decryption and encryption:

$$n_{-1} \equiv S_o$$
$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)},$$

an unencrypted byte n is formed from an encrypted byte n\* according to the following:

$$n_i = \left( n_i^* \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)} \right) \ggg \sum_{j=0}^i n_{j-1}^*$$

2. (Original) The method of claim 1, wherein the first key and the second key are identical.

3. (Original) The method of claim 1, wherein the first key and the second key are not identical.

4. (Original) The method of claim 2, wherein each one of the first key and the second key includes a table that is accessed by a hash function.
5. (Original) The method of claim 1, wherein at least one of the first key and the second key is implemented in an electronic circuit.
6. (Original) The method of claim 1, wherein at least one of the first key and the second key is implemented in the form of a computer program.

7. (Previously Presented) A data encryption system, comprising:

a programming unit in which a first key is provided;  
a control unit in which a second key is provided; and  
a data line coupled to the programming unit and the control unit for transmitting encrypted data, the encrypted data being an encryption of a complete stream of data, wherein a byte by byte encryption of the complete stream of data is capable of being performed, wherein encryption of a byte includes a rotation of bits of the byte about a number of positions, the number depending on an entire history of the encryption of the data, and wherein no byte-wise allocation between input and output data occurs;

wherein:

successive bytes during encryption are provided with an index  $i$ , where  $i = 0, 1, 2, \dots$ ,

an encrypted byte  $n^*$  is formed from an unencrypted byte  $n$  according to the following, a starting value  $n_{-1}$  being used for decryption and encryption:

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)},$$

an unencrypted byte  $n$  is formed from an encrypted byte  $n^*$  according to the following:

$$n_i = \left( n_i^* \oplus S_h \left( \sum_{j=0}^i n_{j-1}^* \right) \right) \ggg \sum_{j=0}^i n_{j-1}^*$$

8. (Original) The system of claim 7, wherein the first key and the second key are identical.

9. (Original) The system of claim 7, wherein the first key and the second key are not identical.

10. (Original) The system of claim 7, wherein the programming unit and the control unit each includes an electronic computing unit and a memory module that are linked together by a data bus.

11. (Previously Presented) A computer program product having program code executable by a computing unit, the program code when executed causing the computing unit to perform a method, the method comprising:

performing an encryption of a complete stream of data in accordance with a table and a hash function, wherein a byte by byte encryption of the complete stream of data is capable of being performed, and wherein no byte-wise allocation between input and output data occurs:

wherein:

successive bytes during encryption are provided with an index i, where i = 0, 1, 2, . . . ,

an encrypted byte n\* is formed from an unencrypted byte n according to the following, a starting value n<sub>-1</sub> being used for decryption and encryption:

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_h \left( \sum_{j=0}^i n_{j-1}^* \right),$$

an unencrypted byte n is formed from an encrypted byte n\* according to the following:

$$n_i = \left( n_i^* \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)} \right) \ggg \sum_{j=0}^i n_{j-1}^*$$

12. (Previously Presented) The computer program product of claim 11, wherein the computing unit includes an electronic computing unit in a programming unit.

13. (Canceled).

14. (Canceled).

15. (Previously Presented) A computer-readable medium, comprising:

a program code executable on a computing unit for performing an encryption of a complete stream of data in accordance with a table and a hash function, wherein a byte by byte encryption of the complete stream of data is capable of being performed, and wherein no byte-wise allocation between input and output data occurs, as provided for in the context of the claimed subject matter:

wherein:

successive bytes during encryption are provided with an index i, where i = 0, 1, 2, . . . ,

an encrypted byte n\* is formed from an unencrypted byte n according to the following, a starting value n<sub>-1</sub> being used for decryption and encryption:

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)},$$

an unencrypted byte n is formed from an encrypted byte n\* according to the following:

$$n_i = \left( n_i^* \oplus S_h \left( \sum_{j=0}^i n_{j-1}^* \right) \right) >>> \sum_{j=0}^i n_{j-1}^*$$

16. (Previously Presented) A computer-readable medium, comprising:

a program code executable on a computing unit for performing a decryption of a complete stream of data in accordance with a table and a hash function, wherein a byte by byte decryption of the complete stream of data is capable of being performed, and wherein no byte-wise allocation between input and output data occurs:

wherein:

successive bytes during encryption are provided with an index i, where i = 0, 1, 2, . . . ,

an encrypted byte n\* is formed from an unencrypted byte n according to the following, a starting value n<sub>-1</sub> being used for decryption and encryption:

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i <<< \sum_{j=0}^i n_{j-1}^* \right) \oplus S_h \left( \sum_{j=0}^i n_{j-1}^* \right),$$

an unencrypted byte n is formed from an encrypted byte n\* according to the following:

$$n_i = \left( n_i^* \oplus S_h \left( \sum_{j=0}^i n_{j-1}^* \right) \right) >>> \sum_{j=0}^i n_{j-1}^*$$

17. (Previously Presented) The method of claim 1, wherein there is no bit-wise allocation between input and output data:

wherein:

successive bytes during encryption are provided with an index i, where i = 0, 1, 2, . . . ,

an encrypted byte  $n^*$  is formed from an unencrypted byte  $n$  according to the following, a starting value  $n_{-1}$  being used for decryption and encryption:

$$n_{-1} \equiv S_o$$

$$n_i^* = \left( n_i \lll \sum_{j=0}^i n_{j-1}^* \right) \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)},$$

an unencrypted byte  $n$  is formed from an encrypted byte  $n^*$  according to the following:

$$n_i = \left( n_i^* \oplus S_{h\left(\sum_{j=0}^i n_{j-1}^*\right)} \right) \ggg \sum_{j=0}^i n_{j-1}^*$$

18. (Previously Presented) The method of claim 7, wherein there is no bit-wise allocation between input and output data.
19. (Previously Presented) The method of claim 11, wherein there is no bit-wise allocation between input and output data.

U.S. Pat. App. Ser. No. 10/090,718  
Attorney Docket No. 10191/2275  
Appeal Brief

EVIDENCE APPENDIX

Appellants have not submitted any evidence pursuant to 37 CFR Sections 1.130, 1.131 or 1.132, and do not rely upon evidence entered by the Examiner.



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Appeal Brief

RELATED PROCEEDINGS INDEX

There are no interferences or other appeals related to the present application.